

FastLane Help System

Notifications and Requests Principal Investigator Functions

Table Of Contents

| PI Functions Introduction for Notifications and Requests | PI Functions | 1 |
|---|--|----|
| Prepare a New Notification or Request as a PI | PI Functions Introduction for Notifications and Requests | 1 |
| Search for Notifications and Requests Prepared by the PI | Access Notifications and Requests as a PI | 2 |
| View a Notification or Request Prepared by the PI | Prepare a New Notification or Request as a PI | 4 |
| Modify a Notification or Request Prepared by the PI 9 Forward a Notification or Request to the SPO 11 Submit a Notification to NSF Prepared by the PI 13 Delete a Notification or Request Prepared by the PI 15 Check the Status of Notifications and Requests as a PI 17 Search by Award Number for Notifications and Requests 18 Search by the Date the Notification or Request Was Last Modified 18 View a Forwarded, Submitted, or Approved Notification or Request 19 View a Notification or Request in Progress 20 View the Award Amendment for an Approved Notification or Request as a PI 22 | Search for Notifications and Requests Prepared by the PI | 6 |
| Forward a Notification or Request to the SPO | View a Notification or Request Prepared by the PI | 8 |
| Submit a Notification to NSF Prepared by the PI | Modify a Notification or Request Prepared by the PI | 9 |
| Submit a Notification to NSF Prepared by the PI | Forward a Notification or Request to the SPO | 11 |
| Delete a Notification or Request Prepared by the PI | | |
| Search by Award Number for Notifications and Requests | | |
| Search by the Date the Notification or Request Was Last Modified | Check the Status of Notifications and Requests as a PI | 17 |
| View a Forwarded, Submitted, or Approved Notification or Request | Search by Award Number for Notifications and Requests | 18 |
| View a Notification or Request in Progress | Search by the Date the Notification or Request Was Last Modified | 18 |
| View the Award Amendment for an Approved Notification or Request as a PI22 | View a Forwarded, Submitted, or Approved Notification or Request | 19 |
| · · · · · · · · · · · · · · · · · · · | View a Notification or Request in Progress | 20 |
| Index | View the Award Amendment for an Approved Notification or Request as a PI | 22 |
| | Index | 23 |

PI Functions

PI Functions Introduction for Notifications and Requests

As a PI, you have these options for working on Notifications and Requests:

- · Prepare a new notification or request
- Search for notifications and requests
- View a notification or request
- Modify a notification or request
- · Forward a notification or request to the SPO
- Submit a notification to NSF
- Delete a notification or request
- Check the status of a notification or request
- View a forwarded or submitted notification or request
- View the award amendment for an approved notification or request (Click on a link above for instructions for that option.)

Access Notifications and Requests as a PI

 On the FastLane Home Page screen, log in to Proposals, Awards, and Status as a PI or Co-PI (see <u>PI Co-PI Login to Award Functions</u>). The **Principal** Investigator (PI)/Co-Principal Investigator (Co-PI) Management screen displays (Figure 1).

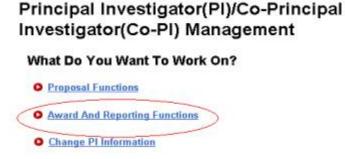


Figure 1 Principal Investigator (PI)/Co-Principal Investigator (Co-PI) Management screen. The Award and Reporting Functions link is circled.

 Click Award and Reporting Functions (Figure 1). The Principal Investigator (PI)/Co-Principal Investigator (Co-PI) Management Award and Reporting Functions screen displays (Figure 2).

Principal Investigator(PI)/Co-Principal



Figure 2 Principal Investigator (PI)/Co-Principal Investigator (Co-PI)
Management Award and Reporting Functions screen. The Notifications and
Requests link is circled.

- 3. Click **Notifications and Requests** (Figure 2). The **Notifications and Requests** screen displays on the **Prepared by PI** tab (Figure 3). There are two tabs on the Notifications and Requests screen:
 - Prepared by PI tab
 Prepare notifications and requests on this tab.
 - All by Status tab

Check the status of notifications and requests that have already been initiated on this tab.

The Notifications and Requests screen displays first on the Prepared by PI tab.



Figure 3 Notifications and Requests screen on the Prepared by PI tab.

You have these options for working on Notifications and Requests:

- Prepare a new notification or request
- Search for notifications and requests
- View a notification or request
- Modify a notification or request
- · Forward a notification or request to the SPO
- Submit a notification to NSF prepared by PI
- Delete a notification or request
- Check the status of a notification or request
- · View a forwarded or submitted notification or request
- View the award amendment for an approved notification or request (Click on a link above for instructions for that option.)

Prepare a New Notification or Request as a PI

1. Access the **Notifications and Requests** screen on the **Prepared by PI** tab (Figure 1) (see <u>Access Notifications and Requests as a PI</u>). You must be on the **Prepared by PI** tab to prepare a new notification or request.



Figure 1 Notifications and Requests screen on the Prepared by PI tab. The Prepare New section is circled.

- In the Prepare New section of the Notifications and Requests screen on the Prepared by PI tab (Figure 1), select the award number from the Award # drop-down list for the award you want to prepare a notification or request for.
- 3. Click the **Prepare New** button (Figure 1). The **Prepare a New Notification or Request** screen displays (Figure 2).

Prepare a New Notification or Request for Award #: 0707551 Award Amount: \$0.00 **Expiration Date:** 12/31/2011 Division: DIVISION OF INFORMATION SYSTEMS **Award Title:** PRS 11/18/06 Release Functional Verification 15 Awardee Organization: National Science Foundation

| Select | the | Notifica | tion or | Reques | t Tvi | ne: |
|--------|-----|----------|---------|--------|-------|-----|
| | | | | | | |

| GRANTEE NOTIFICATION TYPES | Topic Guidance | GRANTEE REQUEST TYPES (Requires NSF Approval) | Topic Guidance |
|--|-------------------|--|-------------------|
| O Anticipated Residual Funds in excess of \$5,000 or 5% | AAG | O Addition of SubAward | AAG |
| O Grantee Approved No Cost Extension | AAG | O Withdrawal of PI/Co-PI | AAG |
| Significant Changes/Delays or Events of Unusual Interest | AAG | O Long-Term Absence of the PI/PD (Over Three Months) | AAG |
| O Significant Changes in Methods/Procedures | AAG | NSF Approved No-Cost Extension | AAG |
| O Short-Term Absence of the PI/PD (Up to Three Months) | AAG | O PI Transfer | AAG. |
| | | O Pre-award Costs in Excess of 90 Days | AAG |
| | | O Rearrangement/Alteration \$25,000 or over (Follow these links for more information on Non-FDP Organizations or FDP Organizations. They will open a PDF file in new window.) | AAG |
| | | O Change PI and Add/Change Co-PI | AAG |
| | | O Significant Change in Person-Months Devoted to Project | AAG |
| | | O Changes in Objective or Scope | AAG |
| | | Reallocation of Funds Budgeted for Participant or Trainee | AAG |

^{*}Topic Guidance is provided through Grant Proposal Guide (GPG) and Award & Administration Guide (AAG) references.

Prepare Cancel

Support Costs

Figure 2 Prepare a New Notification or Request screen.

The screen lists the different types of Notifications and Requests you can prepare. Click on a form link below for instructions on preparing that form.

For notifications, select from:

Alphaman, Alan

PI/PD:

- Anticipated Residual Funds in Excess of \$5,000 or 5%
- **Grantee-Approved No-Cost Extension**
- Significant Changes/Delays or Events of Unusual Interest
- Significant Changes in Methods/Procedures
- Short-Term Absence of the PI/PD (Up to 3 Months)

For requests, select from:

- Addition of Subaward
- Withdrawal of PI/Co-PI
- Long-Term Absence of the PI/PD (Over 3 Months)
- NSF-Approved No-Cost Extension
- PI Transfer
- Pre-Award Costs in Excess of 90 Days
- Rearrangement/Alteration \$25,000 or Over
- Change PI and Add/Change Co-PI
- Significant Change in Person-Months Devoted to Project
- Changes in Objective or Scope
- Reallocation of Funds Budgeted for Participant or Trainee Support Costs

Search for Notifications and Requests Prepared by the PI

1. Access the **Notifications and Requests** screen on the **Prepared by PI** screen (Figure 1) (see Access Notifications and Requests as a <u>PI</u>).

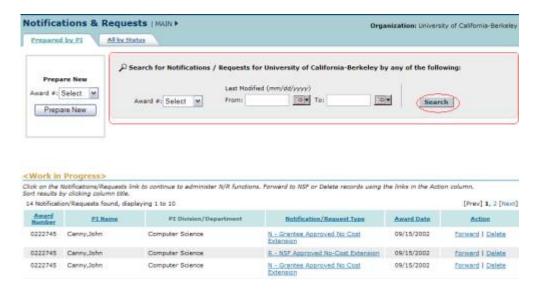


Figure 1 Notifications and Requests screen on the Prepared by PI tab. The Search for Notifications/Requests section and the Search button are circled.

- 2. In the Search for Notifications/Requests section of the Notifications and Requests screen (Figure 1), search for the notifications or requests by any of these criteria:
 - Award number
 Select the award number from the Award # drop-down list.
 - Date range
 - In the From box, type the start date for the search (in mm/dd/yyyy format).
 - In the To box, type the end date for the search (in mm/dd/yyyy format).
- 3. Click the **Search** button (Figure 1). The results of your search display in the **Work in Progress** section of the **Notifications and Requests** screen on the **Prepared by PI** tab (Figure 2).

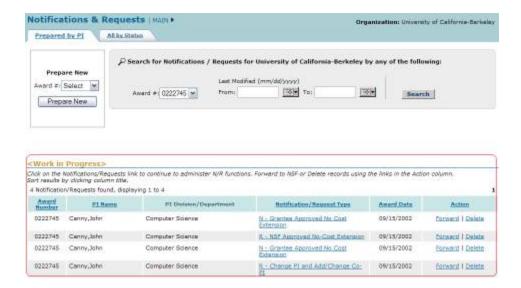


Figure 2 Notifications and Requests screen on the Prepared by PI tab. The Work in Progress section is circled.

- 4. Find the notification or request you want to work on. You have these options for working on notifications and requests that you have prepared:
 - View a notification or request
 - Modify a notification or request
 - · Forward a notification or request to the SPO
 - Submit a notification to NSF prepared by the PI
 - <u>Delete a notification or request</u>
 (Click on a link above for instructions for that option.)

Note: The PI directly submits to NSF the notifications for <u>Significant Changes in Methods/Procedures</u> and <u>Short-Term Absence of the PI/PD (Up to 3 Months)</u>. See <u>Submit a Notification to NSF Prepared by PI</u>.

View a Notification or Request Prepared by the PI

 Access the Notifications and Requests screen on the Prepared by PI tab (Figure 1) (see Access Notifications and Requests as a PI).

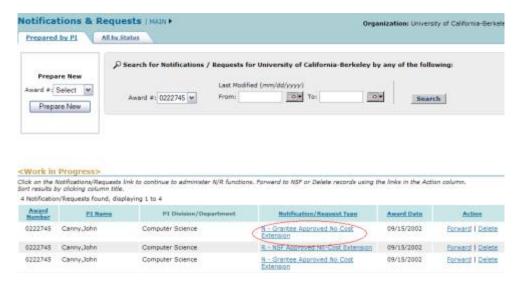


Figure 1 Notifications and Requests screen on the Prepared by PI tab. The Notification/Request Type link is circled for a notification.

- 2. In the Work in Progress section of the Notifications and Requests screen (Figure 1), click the Notification/Request Type link on the row for the notification (or request) you want to view. The View Notification screen displays (Figure 2) with the text of the notification. (The View Request screen displays if you are viewing a request.) You have these options on the View Notification (or View Request) screen (Figure 2):
 - · Modify the notification or request
 - Forward the notification or request to the SPO
 - · Submit the notification to NSF
 - <u>Delete the notification or request</u>
 (Click on a link above for instructions for that option.)



Figure 2 View Notification screen.

Modify a Notification or Request Prepared by the PI

1. Access the **View Request** or (**View Notification**) screen (Figure 1) (see <u>View a Notification or Request Prepared by the PI</u>).



Figure 1 View Request screen. The Modify button is circled.

 On the View Request (or View Notification) screen (Figure 1), click the Modify button. The Modify Request (or Modify Notification) screen displays (Figure 2).

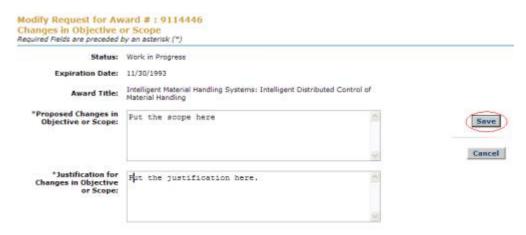


Figure 2 Modify Request screen. The Save button is circled.

- 3. Modify the request as you require. Click on a link below for the instructions for the type of notification or request you are modifying:
 - For notifications:
 - Anticipated Residual Funds in Excess of \$5,000 or 5%
 - Grantee-Approved No-Cost Extension
 - Significant Changes/Delays or Events of Unusual Interest
 - Significant Changes in Methods/Procedures
 - Short-Term Absence of the PI/PD (Up to 3 Months)
 - For requests:
 - Addition of Subaward
 - Withdrawal of PI/Co-PI
 - Long-Term Absence of the PI/PD (Over 3 Months)

- NSF-Approved No-Cost Extension
- PI Transfer
- Pre-Award Costs in Excess of 90 Days
- Rearrangement/Alteration \$25,000 or Over
- Change PI and Add/Change Co-PI
- Significant Change in Person-Months Devoted to Project
- Changes in Objective or Scope
- Reallocation of Funds Budgeted for Participant or Trainee Support Costs
- 4. Click the **Save** button (Figure 2). The **View Request** (or **View Notification**) screen displays (Figure 3) with the changed information.



Figure 3 View Request screen with the modifications displayed.

Forward a Notification or Request to the SPO

1. Access the **Notifications and Requests** screen on the **Prepared by PI** tab (Figure 1) (see Access Notifications and Requests as a PI).



Figure 1 Notifications and Requests screen on the Prepared by PI tab. The Forward link is circled for a request.

2. In the Work in Progress section of the Notifications and Requests screen (Figure 1), click Forward in the row for the notification (or request) you want to forward to the SPO. The Forward Notification screen displays (Figure 2) with a message at the top for you to confirm that you want to forward the notification (or request) to NSF. (The Forward Request screen displays if you chose to forward a request.)



Figure 2 Forward Notification screen. The Forward to SPO button is circled.

3. Click the **Forward to SPO** button (Figure 2). The **Forwarded** screen displays (Figure 3) with a message that the notification (or request) has been submitted to NSF.



Figure 3 Forwarded screen. The Search Prepared by PI List link is circled.

4. Click Search Prepared by PI List (Figure 3). The Notifications and Requests screen displays on the Prepared by PI tab.

Submit a Notification to NSF Prepared by the PI

As a PI, you may directly submit to NSF:

- A Significant Changes in Methods/Procedures Notification
- A Short-Term Absence of PI/PD Notification (Up to 3 Months) Notification

Below are instructions for the case of a Significant Changes in Methods/Procedures Notification. Submit a Short-Term Absence of PI/PD Notification in the same way.

 Access the Notifications and Requests screen on the Prepared by PI tab (Figure 1) (see Access Notifications and Requests as a PI).

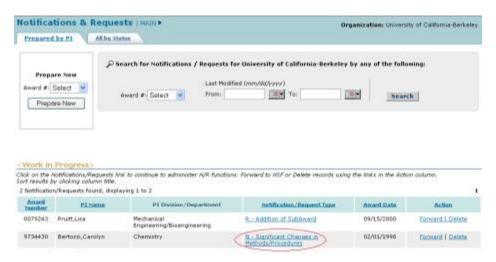


Figure 1 Notifications and Requests screen on the Prepared by PI tab. The Notification/Request Type link is circled for a Significant Changes in Methods/Procedures Notification.

2. In the Work in Progress section of the Notifications and Requests screen (Figure 1), click the Notification/Request Type link on the row for a Significant Changes in Methods/Procedures Notification (as in Figure 1) or Short-Term Absence of PI/PD Notification. The View Notification screen displays (Figure 2) with the text of the notification.



Figure 2 View Notification for Significant Changes in Methods/Procedures screen. The Submit to NSF button is circled.

3. Click the **Submit to NSF** button (Figure 2). The **Submit Notification** screen displays (Figure 3) with a message for you to confirm that you want to submit the notification to NSF.

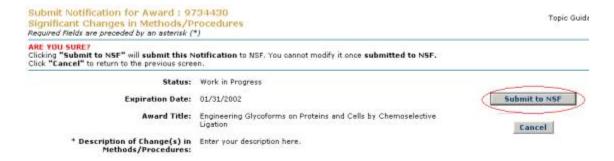


Figure 3 Submit Notification for Significant Changes in Methods/Procedures screen. The Submit to NSF button is circled.

4. Click the **Submit to NSF** button (Figure 3). The **Submitted** screen displays (Figure 4) with a message that the notification has been submitted to NSF.



Figure 4 Submitted screen.

5. Click **Search Prepared by PI List**. The **Notifications and Requests** screen displays on the **Prepared by PI** tab (Figure 1).

Delete a Notification or Request Prepared by the PI

1. Access the **Notifications and Requests** screen on the **Prepared by PI** tab (Figure 1) (see Access Notifications and Requests as a PI).

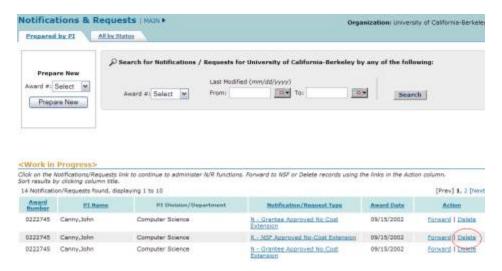


Figure 1 Notifications and Requests screen on the Prepared by PI tab. The Delete link is circled for a request.

2. In the Work in Progress section of the Notifications and Requests screen (Figure 1), click Delete in the row for the request (or notification) you want to delete. The Delete Request screen displays (Figure 2) with a message for you to confirm that you want to delete the request. (The Delete Notification screen displays if you are deleting a notification.)



Figure 2 Delete Request screen. The message for you to confirm that you want to delete the request and the Delete button are circled.

3. Click the **Delete** button (Figure 2). The **Deleted** screen displays (Figure 3) with a message that the request (or notification) has been deleted.



Figure 3 Deleted screen. The Search Prepared by PI List link is circled.

4. Click Search Prepared by PI List (Figure 3). The Notifications and Requests screen displays on the Prepared by PI tab (Figure 1).

Check the Status of Notifications and Requests as a PI

1. Access the **Notifications and Requests** screen on the **Prepared by PI** tab (Figure 1) (see <u>Access Notifications and Requests as a PI</u>).



Figure 1 Notifications and Requests screen on the Prepared by PI tab. The AII by Status tab is circled.

- 2. On the **Notifications and Requests** screen on the **Prepared by PI** tab (Figure 1), click the **All by Status** tab. The **Notifications and Requests** screen displays on the **All by Status** tab (Figure 2). You can search for notifications and requests by either of these criteria:
 - Award number
 - Date last modified

On this screen, you can also:

- View a forwarded, submitted, or approved notification or request
- View a notification or request that is in progress

(Click on a link above for instructions for that option.)

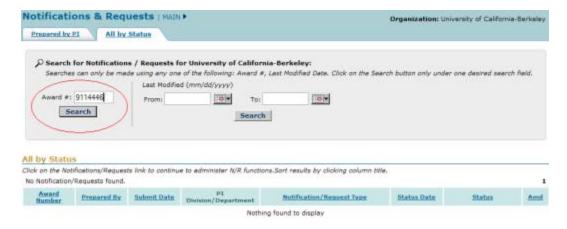


Figure 2 Notifications and Requests screen on the All by Status tab. The Search by Award # section is circled.

Search by Award Number for Notifications and Requests

- In the Award # box of the Notifications and Requests screen on the All by Status tab (Figure 2), type the award number for the award whose notifications and requests you want to find.
- Click the Search button (Figure 2). The Notifications and Requests screen displays on the All by Status tab (Figure 3). All notifications and requests, including those in progress, are listed in the All by Status section with their status.

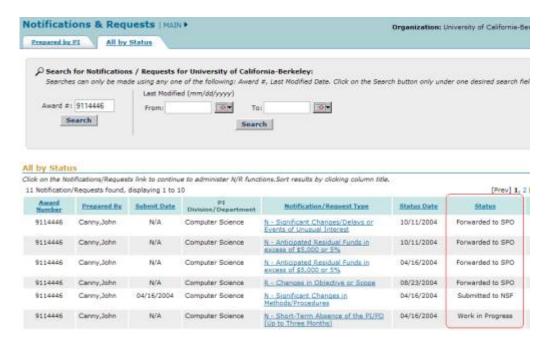


Figure 3 Notifications and Requests screen on the All by Status tab. The Status column is circled.

Search by the Date the Notification or Request Was Last Modified

1. On the **Notifications and Requests** screen on the **All by Status** tab (Figure 4), in the **From** box, type the start date for the date range you want to search for.



Figure 4 Notifications and Requests screen on the All by Status tab. The Search button is circled for a search by the date last modified.

- 2. In the **To** box (Figure 4), type the end date for the date range you want to search for.
- 3. Click the **Search** button (Figure 4). The **Notifications and Requests** screen displays (Figure 5) on the **All by Status** tab. All the notifications and requests in that date range and their statuses are listed in the **All by Status** section.

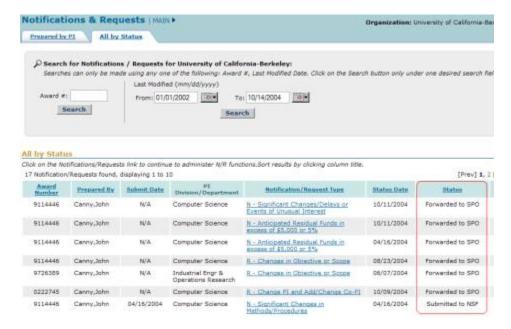


Figure 5 Notifications and Requests screen on the All by Status tab. The Status column is circled.

View a Forwarded, Submitted, or Approved Notification or Request

 On the Notifications and Requests screen on the All by Status tab (Figure 6), click the Notification/Request Type link on the row of the notification or request you want to view. The View Notification (or View Request) screen displays (Figure 7) with the contents of the notification or request. You can no longer work on a notification or request that has been forwarded, submitted, or approved.

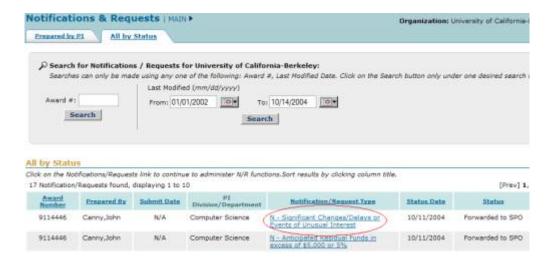


Figure 6 Notifications and Requests screen on the All by Status tab. The Notification/Request Type link is circled for a notification.



Figure 7 View Notification screen.

2. Click the **Cancel** button (Figure 7). The **Notifications and Requests** screen displays on the **All by Status** tab (Figure 6).

View a Notification or Request in Progress

On the **Notifications and Requests** screen on the **All by Status** tab (Figure 8), click the **Notification/Request Type** link on the row of the in-progress notification or request you want to view. The **View Request** (or **View Notification**) screen displays (Figure 9) with the contents of the notification or request. You have these options on this screen:

- Modify the notification or request
- Forward the notification or request to the SPO
- Delete the notification or request

(Click on a link above for instructions for that option.)

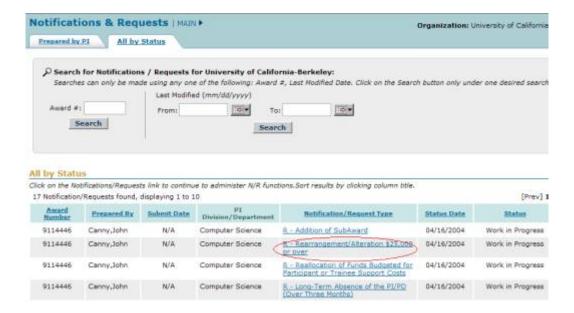


Figure 8 Notifications and Requests screen on the All by Status tab. The Notification/Request Type link is circled for a request.



Figure 9 View Request screen for an in-progress request.

View the Award Amendment for an Approved Notification or Request as a PI

 Access the Notifications and Requests screen on the All by Status tab and search for notifications and requests as you require (see <u>Check the Status of Notifications and Requests</u>). The Notifications and Requests screen displays (Figure 1) with all notifications and requests.

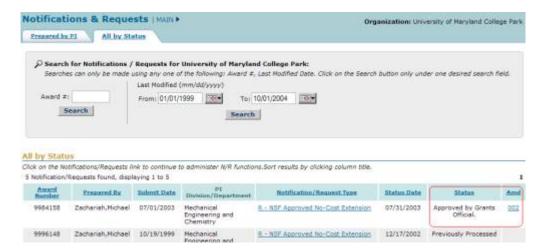


Figure 1 Notifications and Requests screen on the All by Status tab. The status and Amd (Amendment) number are circled for an approved request.

- Find a notification or request that has the status "Approved by Grants Official" (Figure 1).
- Click the amendment number in the Amd column in the row for the approved notification or request (Figure 1). The National Science Foundation screen displays (Figure 2).



Figure 2 National Science Foundation screen with the amendment text. The Return to Search Results link is circled.

4. Click **Return to Search Results** (Figure 2). The **Notifications and Requests** screen displays on the **All by Status** tab (Figure 1).

Index

| A | View17 |
|---|---|
| Above1, 2, 6, 8, 17 | Forward Notification11 |
| Access 2, 4, 6, 8, 9, 11, 13, 15, 17, 22 | Forward Request11 |
| Notifications . 4, 6, 8, 11, 13, 15, 17, | From 4, 6, 17 |
| 22 | Functions1, 2 |
| View Request9 | Functions Introduction 1 |
| Access Notifications | Notifications 1 |
| All | G |
| Amd22 | Grants22 |
| Amendment Number22 | Grants Official22 |
| Amendments | • • • • • • • • • • • • • • • • • • • |
| | I If 0 11 15 |
| Approved | If 8, 11, 15 |
| Approved Notification 17, 22 | In4, 6, 8, 11, 13, 15, 17, 22 |
| Award Amendment22 | In Progress 6, 8, 11, 13, 15, 17 |
| Award Number 4, 6, 17 | Include17 |
| Awards | Information9 |
| В | Initiate 2 |
| be 4 | Instructions 1, 2, 4, 6, 8, 9, 13, 17 |
| C | Is2, 4, 6, 8, 9, 11, 13, 15, 17, 22 |
| Cancel17 | L |
| Changes 9, 13 | Last17 |
| Check | Link 1, 2, 4, 6, 8, 9, 11, 13, 15, 17, 22 |
| Status17 | List 4, 6, 17 |
| Click1, 2, 4, 6, 8, 9, 11, 13, 15, 17, 22 | Log In 2 |
| Confirm | M |
| Contents | Management 2 |
| Co-PI 2 | Management Award |
| | Message |
| Co-Principal Investigator | Methods/Procedures |
| Criteria6, 17 D | |
| _ | Methods/Procedures Notification13 |
| Date | Methods/Procedures screen13 |
| Notification17 | mm/dd/yyyy format 6 |
| Date Range 6, 17 | Modify9, 17 |
| Delete15 | Notification9 |
| Notification15 | Modify Notification 9 |
| Delete Notification15 | Modify Request 9 |
| Delete Request15 | Months13 |
| displays 2, 4, 6, 8, 9, 11, 13, 15, 17, | N |
| 22 | National Science Foundation22 |
| E | New 4 |
| End Date | Notification/Request Type 8, 13, 17 |
| End dates 6, 17 | Notifications 1, 2, 4, 6, 8, 9, 11, 13, |
| F | 15, 17, 22 |
| FastLane 2 | Access 4, 6, 8, 11, 13, 15, 17, 22 |
| FastLane Home Page 2 | Award Number17 |
| Figures 2, 4, 6, 8, 9, 11, 13, 15, 17, 22 | Date17 |
| Find 6, 17, 22 | Delete15 |
| Format 6 | Forward11 |
| Forms 4 | Functions Introduction 1 |
| Forward11, 17 | Modify 9 |
| Notification11 | PI Functions Introduction |
| | dilotiono inti oddotioni in i |

| Search 6 | Screen 2, 4, 6, 8, 9, 11, 13, 15, 17, 22 |
|--|--|
| Submit13 | Search 6, 11, 13, 15, 17, 22 |
| types 4 | Notifications 6 |
| View8, 17 | Notifications/Requests 6 |
| Notifications/Requests 6 | Search Prepared11, 13, 15 |
| Search6 | Search Results22 |
| NSF 6, 11, 13 | Section 4, 6, 8, 11, 13, 15, 17 |
| NSF button13 | see 2, 4, 6, 8, 9, 11, 13, 15, 17, 22 |
| NSF Prepared13 | Select4, 6 |
| Number22 | Short-Term Absence13 |
| 0 | PI/PD Notification13 |
| Options | Submit13 |
| P | Significant13 |
| PI 1, 2, 4, 6, 8, 9, 11, 13, 15, 17, 22 | Significant Changes13 |
| PI Functions 1 | Submit Notification13 |
| PI List11, 13, 15 | View Notification13 |
| PI/PD13 | SPO11 |
| Prepare 2, 4, 6, 8, 9, 11, 13, 15, 17 | request11 |
| Prepare New 4 | Start |
| preparing 4, 6, 8, 11, 13, 15, 17 | Start Date |
| Principal Investigator | Status 2, 17, 22 |
| Principal Investigators 2 | Submit 6, 11, 13, 17 |
| Progress 6, 8, 11, 13, 15, 17 | Notification13 |
| Proposals 2 | Short-Term Absence13 |
| R | Submit Notification13 |
| Report 2 | Т |
| Reporting Functions 2 | Text 8, 13, 22 |
| Reporting Functions link 2 | Top11 |
| Reporting Functions screen | type 4, 6, 9, 17 |
| Request Screen . 2, 4, 6, 8, 11, 13, 15, | V |
| 17, 22 | View 8, 9, 13, 17, 22 |
| Request Was17 | Award Amendment22 |
| Requests1, 2, 4, 6, 8, 9, 11, 13, 15, | Forwarded17 |
| 17, 22 | Notification8, 17 |
| SPO11 | View Notification |
| Requests Prepared6, 8, 9, 15 | Significant Changes13 |
| require9, 22 | View Request 8, 9, 17 |
| Results 6, 22 | Access 9 |
| Return22 | W |
| Return To22 | Work 1, 2, 6, 8, 11, 13, 15, 17 |
| S | Work On 1, 2, 6, 17 |
| Save 9 | |